Advisor Brochure Supplement
Part 2B of Form ADV

John Alyo
Galo Baca
Andrew Barlow
Luke Baumgarten
Michael Corrigan
Floyd Davis
Anna Dujan
Isaiah Gates
Jeremy McNamara
Timothy Newell
Brian Park
Eric Schlosser
Thomas Shankland
Kenneth Wilkinson
Kristine Witherow
Wendy West
James Wisdom

2B Supplemental Brochure

August 11th, 2023

The Brochure Supplement provides information about John Alyo, Galo Baca, Andrew Barlow, Luke Baumgarten, Michael Corrigan, Floyd Davis, Anna Dujan, Isaiah Gates, Jeremy McNamara, Timothy Newell, Brian Park, Eric Schlosser, Thomas Shankland, Kenneth Wilkinson, Kristine Witherow, Wendy West, and James Wisdom that supplements the Harvest Investment Services Brochure. You should have received a copy of the Brochure. Please contact the Chief Compliance Officer at 630-613-9230 or if you did not receive Harvest Investment Services' Brochure or if you have any questions about the contents of this supplement. Additional information is available on the SEC’s website at SEC Advisor Info. You can search this site by a unique identifying number, known as the CRD number 306559.
This brochure supplement provides information about John K. Alyo that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about John K. Alyo (CRD # 3228696) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

John K. Alyo, CIMA®
Year of Birth: 1975

Formal Education After High School:
- Aurora University, BA Business Administration, 2014
- Waubonsee Community College, AA Economics, 2011

Business Background:
- Harvest Investment Services, LLC, CIO, 12/2011 - Present
- ProEquities, Inc., Registered Representative, 5/1999 - 6/2018

Certifications: CIMA®

Certified Investment Management AnalystSM (CIMA®)
The CIMA® certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA® certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, and pass a Certification Examination. CIMA® designees are required to adhere to Investments & Wealth Institute's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through the Investments & Wealth Institute™ formerly known as Investment Management Consultants Association (IMCA®).

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. John K. Alyo has no required disclosures under this item.

Item 4 Other Business Activities

John K. Alyo is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Alyo for insurance related activities. This presents a conflict of interest because Mr. Alyo may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Alyo's receipt of additional compensation as a result of his other business activities.
Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

**Item 6 Supervision**

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of John K. Alyo. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
Galo Baca
Harvest Investment Services, LLC
1 Transam Plaza Drive
Suite 230
Oakbrook Terrace, IL 60181

Telephone: 630-613-9230
Facsimile: 630-613-9126

January 10, 2023

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Galo Baca that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Galo Baca (CRD # 7677382) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Galo Baca
Year of Birth: 2001

Formal Education After High School:
• Aurora University, BS Finance, 2023
• Aurora University, BA Business Administration, 2023

Business Background:
• Harvest Investment Services, LLC, Assistant Advisor, 8/2022 - Present
• Christian Retirement Ministries, Assistant Advisor, 8/2022 - Present
• Su Servicio Hispano, Inc. Tax Office, Customer Service Clerk, 1/2016 - Present
• Life Changers International Church, Children's Ministry Event Coordinator, 5/2018 - 8/2021

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Galo Baca has no required disclosures under this item.

Item 4 Other Business Activities

Galo Baca is an Assistant Advisor of Christian Retirement Ministries, LLC, a management company. Mr. Baca's duties as an Assistant Advisor of Christian Retirement Ministries, LLC do not create a conflict of interest to his provision of advisory services through Harvest Investment Services, LLC.

Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Baca's receipt of additional compensation as a result of his other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Galo Baca. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Andrew D. Barlow (CRD# 7555902)

Born: 1989

Post-Secondary Education:

Recent Business Experience:
• 2020-Present Envoy Advisory, Inc., Director of Strategic Operations
• 2021-Present Harvest Investment Services, LLC, Partner;
  2020-Present Director of Strategic Operations
• 2021-Present Harvesting Kingdom Resources, Partner
• 2019-Present Brand Champions, Founder/CEO
• 2018-2019 PASS, CEO; 2018-2019 General Manager
• 2011-2018 Shoot & Share, Marketing & Community Manager

ITEM 3 - DISCIPLINARY INFORMATION

Andrew D. Barlow has no history of any legal or disciplinary events deemed to be material to a client’s consideration of Andrew D. Barlow to act as their investment adviser representative. FINRA’s BrokerCheck® may have additional information regarding the disciplinary history of Andrew D. Barlow that is not included in this brochure supplement.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Andrew D. Barlow is an Investment Adviser Representative of Harvest Investment Services, LLC, a registered investment adviser. Harvest Investment Services, LLC is an affiliate of Envoy Advisory Inc. When appropriate, Mr. Barlow may recommend that you use the investment advisory services of Harvest Investment Services, LLC. If you utilize the advisory services of Mr. Barlow through Harvest Investment Services, LLC, he may receive additional fees or other compensation in his capacity as an investment adviser representative. These fees would be in addition to any fees charged for the advisory services provided through Envoy Advisory Inc.

ITEM 5 - ADDITIONAL COMPENSATION

Andrew D. Barlow does not receive any economic benefit for providing advisory services beyond the scope of Envoy Advisory, Inc. and business activities listed in Item 4.
ITEM 6 - SUPERVISION

Andrew D. Barlow is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Timothy J. Newell, who is responsible for administering the policies and procedures. Timothy J. Newell reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Timothy J. Newell contact information:
Chief Compliance Officer
630-613-9230
Luke E. Baumgarten
Harvest Investment Services, LLC
1 Transam Plaza Drive
Suite 230
Oakbrook Terrace, IL 60181

Telephone: 630-613-9230
Facsimile: 630-613-9126

April 30, 2019

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Luke E. Baumgarten that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Luke E. Baumgarten (CRD # 6481176) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Luke E. Baumgarten  
**Year of Birth:** 1990

**Formal Education After High School:**
- Liberty University, Business Finance, Attended 8/2011 to 10/2013

**Business Background:**
- Harvest Investment Services, LLC, Director of Business Development, 01/2019 - Present
- Harvest Investment Services, LLC, Investment Adviser Representative, 9/2015 - Present
- Harvest Investment Services, LLC, Office and Operations Assistant, 10/2014 - 01/2019

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Luke E. Baumgarten has no required disclosures under this item.

Item 4 Other Business Activities

Luke E. Baumgarten is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Investment Adviser Representative and Director of Business Development of Harvest Investment Services, LLC. Moreover, Mr. Baumgarten does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Luke E. Baumgarten does not receive any additional compensation beyond that received as an Investment Adviser Representative and Director of Business Development of Harvest Investment Services, LLC.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Luke E. Baumgarten. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
This brochure supplement provides information about Michael A. Corrigan that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Michael A. Corrigan (CRD # 1253829) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Michael A. Corrigan
Year of Birth: 1959
Formal Education After High School:
• Loras College, BA Business Administration, 1981
Business Background:
• Harvest Investment Services, LLC, Investment Adviser Representative, 2/2014 - Present
• Corrigan Financial Services, Inc., President, 1991 - Present
• ProEquities, Inc., Registered Representative, 9/1999 - 6/2018

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Michael A. Corrigan has no required disclosures under this item.

Item 4 Other Business Activities

Michael A. Corrigan is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Corrigan for insurance related activities. This presents a conflict of interest because Mr. Corrigan may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Michael A. Corrigan is President of Corrigan Financial Services. This firm provides financial planning and insurance services under the d/b/a name of Harvest Investment Services, LLC. Mr. Corrigan's duties as the President of Corrigan Financial Services do not create a conflict of interest to his provision of advisory services through Harvest Investment Services, LLC.

Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Corrigan's receipt of additional compensation as a result of his other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Michael A. Corrigan. Timothy J. Newell can be reached at (630) 613-9230.
In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
This brochure supplement provides information about Floyd E. Davis that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Floyd E. Davis (CRD # 1809327) is available on the SEC's website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Floyd E. Davis  
Year of Birth: 1957  

Formal Education After High School:  
• Chabot Jr. College, Accounting, Attended 9/1975 - 12/1979  
• California State University of Hayward, Business Administration with Option in Accounting, Attended 1/1980 - 12/1981  

Business Background:  
• Harvest Investment Services, LLC, Investment Adviser Representative, 6/2012 - Present  
• ProEquities, Inc., Investment Adviser Representative, 10/2003 - 08/2018  
• ProEquities, Inc., Registered Representative, 10/2003 - 08/2018

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Floyd E. Davis has no required disclosures under this item.

Item 4 Other Business Activities

Floyd E. Davis is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Davis for insurance related activities. This presents a conflict of interest because Mr. Davis may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Davis's receipt of additional compensation as a result of his other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Floyd E. Davis. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
This brochure supplement provides information about Anna M. Dujan that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Anna M. Dujan (CRD # 4933785) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Anna M. Dujan, RF®
Year of Birth: 1956

Formal Education After High School:
• University of Indianapolis, History, Attended 1974
• Indiana University Purdue University Indianapolis, Finance, Attended 1994 - 2000

Business Background:
• Harvest Investment Services, LLC, Investment Adviser Representative, 6/2016 - Present
• ProEquities, Inc., Registered Representative, 6/2016 - 12/2016
• Park Avenue Securities, Registered Representative, 1/2016 - 5/2016
• Guardian, Agent, 12/2015 - 5/2016
• Guardian, Broker, 8/2015 - 12/2015
• ProEquities, Inc., Registered Representative, 5/2010 - 8/2015

Certifications: RF®

Registered Fiduciary® (RF®)
DALBAR, Inc. has created and acts as registrar for the Registered Fiduciary® and RF® designation. As registrar, DALBAR certifies and authorizes the use of these designations. The Registered Fiduciary® and RF® are trademarks of DALBAR.

A background check is performed on each RF® candidate to establish that there are no incidents in the past that would compromise the integrity of the designation or cause concern for a reasonable person that relies on that designee. The background check is repeated annually in order to continue using the RF® designation.

RF® candidates must have the academic credentials and licenses that are required to provide the services that are offered by the candidate. The RF® designation is added to existing credentials to indicate the candidate’s fiduciary competence in addition to previously established capabilities.

RF® candidates, unless exempted by existing knowledge, are required to undergo RF® fiduciary training and at least one specialty training by an RF® qualified training organization ("QTO"). Training for qualified professionals typically requires 15 to 20 hours, depending on the training organization and specialty. RF® QTOs are authorized to train RF® candidates and successful completion of their courses permits a candidate to apply for the RF® validation to be an RF® designee.

The changing requirements of the marketplace, regulations and technology require that financial professionals with the RF® designation remain current with new developments. RF® QTOs are required to provide continuing education and RF® designees are required to take continuing education courses in their specialty areas. The actual time spent in continuing education depends on the volume of applicable changes taking place.

RF® designees are required to renew their designations annually. Renewals require designees to provide evidence of maintaining a continuing education program and the background check is repeated.

Every five years, designees repeat a proctored examination.
**Item 3 Disciplinary Information**

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Anna M. Dujan has no required disclosures under this item.

**Item 4 Other Business Activities**

Anna M. Dujan is separately licensed as an independent insurance agent. In this capacity, she can effect transactions in insurance products for her clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Ms. Dujan for insurance related activities. This presents a conflict of interest because Ms. Dujan may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

**Item 5 Additional Compensation**

Refer to the Other Business Activities section above for disclosures on Ms. Dujan's receipt of additional compensation as a result of her other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

**Item 6 Supervision**

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Anna M. Dujan. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
Isaiah Gates
Harvest Investment Services, LLC

1 Transam Plaza Drive
Suite 230
Oakbrook Terrace, IL 60181

Telephone: 630-613-9230
Facsimile: 630-613-9126

February 9, 2023

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Isaiah Gates that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Isaiah Gates (CRD # 7697580) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Isaiah Gates
Year of Birth: 2003

Formal Education After High School:
- John A. Logan Community College, BS Transfer Course Enrollee - Dual Enrollment, 1/2019 - 5/2019
- Liberty University, BS Business Administration: Financial Planning, 6/2019 - Present

Business Background:
- Harvest Investment Services, LLC, Stewardship Advisor Intern Associate, 10/2022 - Present
- Christian Retirement Ministries, Stewardship Advisor Intern Associate, 10/2022 - Present
- Integritas Providers, Bookkeeping Intern, 6/2022 - 8/2022
- Cornerstone Reformed Church, Lawncare Manager, 5/2019 - 7/2021

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Isaiah Gates has no required disclosures under this item.

Item 4 Other Business Activities

Isaiah Gates is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Stewardship Advisor Intern Associate of Harvest Investment Services, LLC. Moreover, Mr. Gates does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Isaiah Gates does not receive any additional compensation beyond that received as an Stewardship Advisor Intern Associate of Harvest Investment Services, LLC.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Isaiah Gates. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
This brochure provides information about the qualifications and business practices of Jeremy S. McNamara. If you have any questions about the contents of this brochure, please contact us at (630) 613-9230 and/or Jeremy@harvestinvestmentservices.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Jeremy S. McNamara
1 Trans Am Plaza Drive
Suite 230
Oakbrook Terrace, IL 60181
Phone: (630) 613-9230
Fax: (630) 613-9126
E-Mail: Jeremy@harvestinvestmentservices.com

Additional information about Jeremy S. McNamara also is available on the SEC’s website at www.adviserinfo.sec.gov.

02/23/2021
Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1995.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Northern Illinois University
Date Attended: 08/2014 to 12/2015
Major: Bachelor’s in science and Business Administration

Institution Name: Harper College
Date Attended: 08/2013 to 05/2014
Major: Bachelor’s in science and Business Administration

Institution Name: Elgin Community College
Date Attended: 08/2012 to 05/2013
Major: Bachelor’s in science and Business Administration

Institution Name: Judson University
Date Attended: 01/2012 to 05/2012
Major: Bachelor’s in science and Business Administration

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 12/2020 to Present
Business Name: Harvest Investment Services
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: Investment Advisor Representative

Employment Dates: 04/2020 to 12/2020
Business Name: Centrust Bank
Investment Related: N
City: Northbrook
State: IL
Position Held: Assistant Vice President of Commercial Banking
Employment Dates: 08/2017 to 04/2020
Business Name: Parkway Bank and Trust Company.
Investment Related: N
City: Harwood Heights
State: IL
Position Held: Commercial Credit Analyst

Employment Dates: 08/2016 to 08/2017
Business Name: Bridgeview Bank Group
Investment Related: N
City: Chicago
State: IL
Position Held: Credit Analyst

Employment Dates: 03/2016 to 08/2016
Business Name: The Harvard State Bank
Investment Related: N
City: Harvard
State: IL
Position Held: Jr. Credit Analyst

Employment Dates: 05/2015 to 11/2015
Business Name: Northwestern Mutual
Investment Related: Y
City: Schaumburg
State: IL
Position Held: Financial Representative Internship

Employment Dates: 02/2015 to 05/2015
Business Name: Guillaume & Freckman, Inc.
Investment Related: Y
City: Palatine
State: IL
Position Held: Office Intern

Employment Dates: 06/2013 to 01/2016
Business Name: Proof of Design Inc.
Investment Related: N
City: Algonquin
State: IL
Position Held: Analyst/Intern
SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 65.

I hold a Series 65 License which qualifies me as an Investment Advisor Representative, to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. Investment Advisor Representatives receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: N/A

**Item 3 Disciplinary Information**

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

**Item 4 Other Business Activities**

- I am not engaged in any investment-related OBA.

**Item 5 Additional Compensation**

No one provides me any additional economic benefit for providing advisory services.

**Item 6 Supervision**

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by Harvest Investment Services, LLC at several levels.
Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Tim J. Newell, CCO
Managing Director – Lead Portfolio Manager
Harvest Investment Services, LLC
(630) 613--9230

John K. Alyo
Director of Investments & Trading – Co Portfolio Manager
Harvest Investment Services, LLC
(630) 613--9230
This brochure supplement provides information about Timothy J. Newell that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Timothy J. Newell (CRD # 1339021) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Timothy J. Newell, CFP®, AIF®, CEP®, CKA®

Year of Birth: 1963

Formal Education After High School:

- Tim J. Newell has no formal educational background

Business Background:

- Harvest Investment Services, LLC, President, CEO, 10/2011 to Present
- Harvest Financial Planning, LLC, Owner, 2/1990 to Present
- ProEquities, Inc., Registered Representative, 7/1999 to 7/2018

Certifications: AIF, CEP, CFP, CKA

Accredited Investment Fiduciary® (AIF®)
The AIF designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF Code of Ethics. In order to maintain the AIF designation, the individual must annually renew their affirmation of the AIF Code of Ethics and complete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary360 (fi360) company).

Certified Estate Planner™ (CEP®)
This designation is issued by the National Institute of Certified Estate Planners (NICEP). A pre-requisite is that an individual must hold a valid current license in either the financial, legal, or tax profession. The educational component for the CEP is completed through an interactive discussion of the course highlights done either live or online, in combination with reading and understanding significant self study materials. An applicant is expected to spend a minimum of 40 hours on the educational component of the program. The applicant must pass a qualifying exam, which is composed of 100 multiple choice questions, worded in such a way that the applicant must have a competent grasp of the subject matter. A minimum score of 70% is required for passing. Exams must be proctored by another licensed professional who is neither related to you or under your employ or influence. An individual is required to undergo eight hours of continuing education (in the area of estate planning) every two years and must adhere to the NICEP professional code of ethics which requires: model business behavior, compliance with State and Federal licensing authorities, compliance with represented professional companies and organizations, proper notification procedures, and submission to the findings and rulings of the NICEP with regard to the continued use of any certification which is conferred by the NICEP.

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:
• Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

• Examination - Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

• Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and

• Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

• Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and

• Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Certified Kingdom Advisor™ (CKA®)

The CKA designation is a distinct award obtained through Kingdom Advisors, Inc. This designation is awarded to professionals who have demonstrated themselves to be believers in Jesus Christ, able to apply biblical wisdom in their counsel, are technically competent in their chosen profession, have high ethics and integrity, and are biblical stewards in their personal and professional life.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Timothy Newell has no required disclosures under this item.
Item 4 Other Business Activities

Timothy J. Newell is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Timothy Newell for insurance related activities. This presents a conflict of interest because Mr. Newell may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Mr. Timothy Newell is the owner of Harvest Financial Planning which provides insurance sales and tax preparation. Harvest Financial Planning also owns TA Office Properties, LLC. Any fees or commissions charged by Harvest Financial Planning Services are separate and apart from the advisory fees charged by our firm.

Item 5 Additional Compensation

Please refer to the Other Business Activities section above for disclosures on Mr. Timothy Newell's receipt of additional compensation as a result of his other business activities.

Also, please refer to the Fees and Compensation section and the Client Referrals and Other Compensation section Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy J. Newell is the CCO and responsible for compliance and supervision at Harvest Investment Services, LLC. In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
March 20, 2019

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Brian J. Park that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Brian J. Park (CRD # 6391250) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Brian J. Park
Year of Birth: 1962

Formal Education After High School:
- Riverside City College, AA, 1983
- Biola University, BA Christian Education, 1985
- Talbot Seminary, MA Christian Education, 1987

Business Background:
- Harvest Investment Services, LLC, Investment Adviser Representative, 10/2015 - Present
- Glocal Outreach, CEO, 1/2010 - Present
- ProEquities, Inc., Registered Representative, 8/2014 - 6/2018

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Brian J. Park has no required disclosures under this item.

Item 4 Other Business Activities

Brian J. Park is Founder/CEO of Glocal Outreach, a non-profit 501(C)3 that organizes religious groups to work together on special projects, outreach projects and disaster relief. Mr. Park's duties as the Founder/CEO of Glocal Outreach do not create a conflict of interest to his provision of advisory services through Harvest Investment Services, LLC.

Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Park's receipt of additional compensation as a result of his other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Brian J. Park. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Eric W. Schlosser (CRD# 7299597)

Born: 2000

Post-Secondary Education:
• Excelsior College, BS Business, 2020

Recent Business Experience:
• 2022-Present Envoy Advisory, Inc., Investment Adviser Representative
• 2022-Present Harvest Investment Services, LLC, Investment Adviser Representative

ITEM 3 - DISCIPLINARY INFORMATION

Eric W. Schlosser has no history of any legal or disciplinary events deemed to be material to a client’s consideration of Eric W. Schlosser to act as their investment adviser representative. FINRA’s BrokerCheck® may have additional information regarding the disciplinary history of Eric W. Schlosser that is not included in this brochure supplement.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Eric W. Schlosser is an Investment Adviser Representative of Harvest Investment Services, LLC, a registered investment adviser. Harvest Investment Services, LLC is an affiliate of Envoy Advisory Inc. When appropriate, Mr. Schlosser may recommend that you use the investment advisory services of Harvest Investment Services, LLC. If you utilize the advisory services of Mr. Schlosser through Harvest Investment Services, LLC, he may receive additional fees or other compensation in his capacity as an investment adviser representative. These fees would be in addition to any fees charged for the advisory services provided through Envoy Advisory Inc.

ITEM 5 - ADDITIONAL COMPENSATION

Eric W. Schlosser does not receive any economic benefit for providing advisory services beyond the scope of Envoy Advisory, Inc. and business activities listed in Item 4.
ITEM 6 - SUPERVISION

Eric W. Schlosser is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Timothy J. Newell, who is responsible for administering the policies and procedures. Timothy J. Newell reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Timothy J. Newell contact information:
Chief Compliance Officer
630-613-9230
This brochure supplement provides information about Thomas A. Shankland that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas A. Shankland (CRD # 1779390) is available on the SEC's website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Thomas A. Shankland, CEP, ChFC
Year of Birth: 1959
Formal Education After High School:
  • University of Illinois, BA Business Administration, 8/1984 - 12/1988

Business Background:
  • Harvest Investment Services, LLC, Investment Adviser Representative, 1/2014 - Present
  • ProEquities, Inc., Investment Adviser Representative, 11/1999 - 6/2017
  • ProEquities, Inc., Registered Representative, 5/1997 - 6/2017

Certifications: CEP, ChFC

Certified Estate Planner (CEP)
This designation is issued by the National Institute of Certified Estate Planners (NICEP). A prerequisite is that an individual must hold a valid current license in either the financial, legal, or tax profession. The educational component for the CEP is completed through an interactive discussion of the course highlights done either live or online, in combination with reading and understanding significant self-study materials. An applicant is expected to spend a minimum of 40 hours on the educational component of the program. The applicant must pass a qualifying exam, which is composed of 100 multiple choice questions, worded in such a way that the applicant must have a competent grasp of the subject matter. A minimum score of 70% is required for passing. Exams must be proctored by another licensed professional who is neither related to you or under your employ or influence. An individual is required to undergo eight hours of continuing education (in the area of estate planning) every two years and must adhere to the NICEP professional code of ethics which requires: model business behavior, compliance with State and Federal licensing authorities, compliance with represented professional companies and organizations, proper notification procedures, and submission to the findings and rulings of the NICEP with regard to the continued use of any certification which is conferred by the NICEP.

Chartered Financial Consultant® (ChFC®)
This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take seven mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and application of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Thomas A. Shankland has no required disclosures under this item.
Item 4 Other Business Activities

Thomas A. Shankland is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Shankland for insurance related activities. This presents a conflict of interest because Mr. Shankland may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Shankland's receipt of additional compensation as a result of his other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Thomas A. Shankland. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
Kenneth J. Wilkinson, CEP, CSA, RFC
710 S Broadway
Suite 250
Walnut Creek CA 94596

Telephone: 925-743-1678
Facsimile: 925-743-1601
Email: kenneth.wilkinson@pesmail.com

Harvest Investment Services, LLC
1 Transam Plaza Drive
Suite 230
Oakbrook Terrace, IL 60181

Telephone: 630-613-9230
Facsimile: 630-613-9126

October 24, 2019

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Kenneth J. Wilkinson that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about Kenneth J. Wilkinson (CRD # 2504136) is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Kenneth J. Wilkinson, CEP, CSA, RFC

Year of Birth: 1941

Formal Education After High School:
- Cal State University East Bay, BS Finance, 9/1963 - 6/1967

Business Background:
- Harvest Investment Services, LLC, Investment Adviser Representaotive, 6/2012 - Present
- Wilkinson Insurance Agency, CEO/General Agent, 12/2000 - Present
- Spectrum Synergy Group LLC, CEO/Consultant, 3/2000 - Present
- ProEquities, Inc., Registered Representative, 12/2000 - 12/2017
- ProEquities, Inc., Investment Adviser Representative, 8/2004 - 12/2017

Certifications: CEP, CSA, RFC

Certified Estate Planner (CEP)
This designation is issued by the National Institute of Certified Estate Planners (NICEP). A prerequisite is that an individual must hold a valid current license in either the financial, legal, or tax profession. The educational component for the CEP is completed through an interactive discussion of the course highlights done either live or online, in combination with reading and understanding significant self study materials. An applicant is expected to spend a minimum of 40 hours on the educational component of the program. The applicant must pass a qualifying exam, which is composed of 100 multiple choice questions, worded in such a way that the applicant must have a competent grasp of the subject matter. A minimum score of 70% is required for passing. Exams must be proctored by another licensed professional who is neither related to you or under your employ or influence. An individual is required to undergo eight hours of continuing education (in the area of estate planning) every two years and must adhere to the NICEP professional code of ethics which requires: model business behavior, compliance with State and Federal licensing authorities, compliance with represented professional companies and organizations, proper notification procedures, and submission to the findings and rulings of the NICEP with regard to the continued use of any certification which is conferred by the NICEP.

Certified Senior Advisors (CSA)
Certified Senior Advisors have supplemented their individual professional licenses, credentials and education with knowledge about aging and working with seniors. The CSA designation requires that the candidate: Complete of the SCSA Information Profile and Disclosure Questionnaire; Pass a background check; Complete the CSA's Role, Rules and Responsibilities (CSA Code of Professional Responsibility) online module and sign the CSA Code of Professional Responsibility and SCSA Membership Rules; and, Pass the CSA examination.

As part of the Information Profile and Disclosure Questionnaire, applicants must legally attest with their signature that they meet one of following education/experience options to become designated: (1) A combination of education and experience working with seniors: Completion of the SCSA educational course or its equivalent and one year of paid work experience or 50 hours of volunteer experience within the last three years; OR (2) Experience working with seniors: Two years of paid work experience or 100 hours of volunteer experience (within the last three years); OR Education related to seniors: Completion of a certificate or degree program, in a field related to working with seniors, from an accredited college or university.
Certification is awarded for a period of one year. Renewal is required annually, with recertification required every three years. Prior to January 1, 2011 CSAs are required to earn 18 CSA Continuing Education (CSA CE) credits every three years. Effective January 1, 2011 CSAs are required to earn 30 CSA Continuing Education (CSA CE) credits every three years. To be eligible for credit all CSA CE course topics must be directly related to seniors and/or ethical issues.

**Registered Fiduciary® (RF®)**
DALBAR, Inc. has created and acts as registrar for the Registered Fiduciary® and RF® designation. As registrar, DALBAR certifies and authorizes the use of these designations. The Registered Fiduciary® and RF® are trademarks of DALBAR.

A background check is performed on each RF® candidate to establish that there are no incidents in the past that would compromise the integrity of the designation or cause concern for a reasonable person that relies on that designee. The background check is repeated annually in order to continue using the RF® designation.

RF® candidates must have the academic credentials and licenses that are required to provide the services that are offered by the candidate. The RF® designation is added to existing credentials to indicate the candidate’s fiduciary competence in addition to previously established capabilities.

RF® candidates, unless exempted by existing knowledge, are required to undergo RF® fiduciary training and at least one specialty training by an RF® qualified training organization (“QTO”). Training for qualified professionals typically requires 15 to 20 hours, depending on the training organization and specialty. RF® QTOs are authorized to train RF® candidates and successful completion of their courses permits a candidate to apply for the RF® validation to be an RF® designee.

The changing requirements of the marketplace, regulations and technology require that financial professionals with the RF® designation remain current with new developments. RF® QTOs are required to provide continuing education and RF® designees are required to take continuing education courses in their specialty areas. The actual time spent in continuing education depends on the volume of applicable changes taking place.

RF® designees are required to renew their designations annually. Renewals require designees to provide evidence of maintaining a continuing education program and the background check is repeated.

Every five years, designees repeat a proctored examination.

**Registered Financial Consultant (RFC)**
This designation is issued by the International Association of Registered Financial Consultants (IARFC) and is granted to individuals who have met all of the following requirements: (a) possess an undergraduate or graduate financial planning degree, or has earned one of the following designations: AAMS, AEP, CEP, CFA, CFP®, ChFC®, CLU®, CPA, EA, LUTC, MS, MBA, JD, Ph.D, or completed a CFP® equivalent, IARFC-approved college curriculum; (b) if operating on a commission basis, must meet licensing requirements for securities and life and health insurance; if operating strictly as fee-only and not licensed, then must be registered as an investment adviser, and (c) four years full time experience as a financial planning practitioner or educator in the field of financial planning or financial services. The individual must complete approved college curriculum in personal financial planning or an IARFC self-study course (with a final certification examination). The individual is required to take 40 hours of continuing education in the field of personal finance and professional practice management.
every year, must complete an IARFC approved CE course or curriculum on operational ethics and standards of conduct every two years, and must provide evidence that the member can produce a high-quality personal financial plan.

**Item 3 Disciplinary Information**

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Kenneth J. Wilkinson has no required disclosures under this item.

**Item 4 Other Business Activities**

Kenneth J. Wilkinson has an ownership interest in Wilkinson Insurance Agency, a licensed insurance agency, and is also separately licensed as an independent insurance agent. In this capacity, Mr. Wilkinson may effect transactions in insurance products for clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Wilkinson Insurance Agency and/or Mr. Wilkinson for insurance related activities. This presents a conflict of interest because Mr. Wilkinson may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

**Item 5 Additional Compensation**

Refer to the Other Business Activities section above for disclosures on Mr. Wilkinson’s receipt of additional compensation as a result of his other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC’s firm brochure for additional disclosures on this topic.

**Item 6 Supervision**

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Kenneth J. Wilkinson. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
This brochure supplement provides information about Kristine J. Witherow that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230, if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Kristine J. Witherow (CRD # 2890576) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).
Item 2 Educational Background and Business Experience

Kristine J. Witherow  
*Year of Birth:* 1965  
*Formal Education After High School:*  
- University of Pittsburgh, BA German Studies with a Minor in Economics and a Minor in Psychology, 1987

*Business Background:*  
- Harvest Investment Services, LLC, Investment Adviser Representative, 4/2016 - Present  
- ProEquities, Inc., Investment Adviser Representative/Registered Representative, 5/2016 - 7/2018  
- Bankers Life Securities, Inc., Registered Representative, 1/2016 - 03/2016  
- ProEquities, Inc., Investment Adviser Representative/Registered Representative, 5/2010 - 1/2016

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Kristine J. Witherow has no required disclosures under this item.

Item 4 Other Business Activities

Kristine J. Witherow is separately licensed as an independent insurance agent. In this capacity, she can effect transactions in insurance products for her clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Ms. Witherow for insurance related activities. This presents a conflict of interest because Ms. Witherow may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Ms. Witherow's receipt of additional compensation as a result of her other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Kristine J. Witherow. Timothy J. Newell can be reached at (630) 613-9230.
In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
This brochure supplement provides information about Wendy West that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Wendy West (CRD # 2817890) is available on the SEC's website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

Wendy West

Year of Birth: 1973

Formal Education After High School:
• Northern Illinois University, BA Psychology, 8/1991 - 5/1996

Business Background:
• Harvest Investment Services, LLC, Investment Adviser Representative, 4/2012 - Present
• Siebert West Financial Consulting, Partner, 1/2014 - Present
• ProEquities, Inc., Registered Representative, 8/2000 - 7/2018

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Wendy West has no required disclosures under this item.

Item 4 Other Business Activities

Wendy West is separately licensed as an independent insurance agent. In this capacity, she can effect transactions in insurance products for her clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Ms. West for insurance related activities. This presents a conflict of interest because Ms. West may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Wendy West is Partner of Siepert West Financial Consulting, a Financial Planning Firm. Ms. West's duties as Partner of Siepert West Financial Consulting do not create a conflict of interest to her provision of advisory services through Harvest Investment Services, LLC.

Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Ms. West's receipt of additional compensation as a result of her other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Wendy West. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.
This brochure supplement provides information about James L. Wisdom that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about James L. Wisdom is available on the SEC’s website at www.adviserinfo.sec.gov.
Item 2 Educational Background and Business Experience

James L. Wisdom

Year of Birth: 1959

Formal Education After High School:

Business Background:
- Harvest Investment Services, LLC, Investment Adviser Representative, 3/2015 - Present
- James L Wisdom Insurance Services, Owner, 7/2003 - Present
- National Planning Corporation, Registered Representative, 4/2008 - 7/2011

Certifications: CFP

The CERTIFIED FINANCIAL PLANNER, CFP® and federally registered CFP® (with flame design) marks (collectively, the "CFP marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 63,000 individuals have obtained CFP® certification in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** - Pass the comprehensive CFP Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- **Experience** - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP marks:

- **Continuing Education** - Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- **Ethics** - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP professionals provide financial planning services at a fiduciary standard of care. This means CFP professionals must provide financial planning services in the best interests of their clients.
CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

**Item 3 Disciplinary Information**

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. James L. Wisdom has no required disclosures under this item.

**Item 4 Other Business Activities**

James L. Wisdom has an ownership interest in James L. Wisdom Insurance Services, a licensed insurance agency, and is also separately licensed as an independent insurance agent. In this capacity, Mr. Wisdom may effect transactions in insurance products for clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by James L. Wisdom Insurance Services and/or Mr. Wisdom for insurance related activities. This presents a conflict of interest because Mr. Wisdom may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

**Item 5 Additional Compensation**

Refer to the *Other Business Activities* section above for disclosures on Mr. Wisdom's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Harvest Investment Services, LLC’s firm brochure for additional disclosures on this topic.

**Item 6 Supervision**

Timothy J. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of James L. Wisdom. Timothy J. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.