



**HARVEST**  
INVESTMENT SERVICES

**Steven R. Sweeza**  
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Telephone: 810-732-5752

## **Harvest Investment Services, LLC**

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### **FORM ADV PART 2B BROCHURE SUPPLEMENT**

This brochure supplement provides information about Steven R. Sweeza that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Steven R. Sweeza (CRD # 1970180) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Steven R. Sweezea

*Year of Birth:* 1958

*Formal Education After High School:*

- University of Michigan, General Studies, Attended 1976 - 1978

*Business Background:*

- Harvest Investment Services, LLC, Investment Adviser Representative, 6/2016 - Present
- Self Employed Independent Insurance Agent, 10/2015 - Present
- AIG Financial Network, Financial Adviser, 9/2014 - 10/2015
- Sagepoint Financial, Inc., Financial Adviser, 8/2014 - 10/2015
- AIG Financial Network, Agency Director / Financial Representative, 11/2011 - 9/2014
- Waddell and Reed, Inc., Associate, 10/2009 - 11/2011

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Steven R. Sweezea has no required disclosures under this item.

## Item 4 Other Business Activities

Steven R. Sweezea is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Sweezea for insurance related activities. This presents a conflict of interest because Mr. Sweezea may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

## Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Sweezea's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Frederick A. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Steven R. Sweezea. Frederick A. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.