

Wendy West 1811 South 7th Street DeKalb, IL 60115

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Harvest Investment Services, LLC

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FORM ADV PART 2B BROCHURE SUPPLEMENT

This brochure supplement provides information about Wendy West that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Wendy West (CRD # 2817890) is available on the SEC's website at <u>www.adviserinfo.sec.gov</u>.

Item 2 Educational Background and Business Experience

Wendy West

Year of Birth: 1973 Formal Education After High School:

Northern Illinois University, BA Psychology, 8/1991 - 5/1996

Business Background:

- · Harvest Investment Services, LLC, Investment Adviser Representative, 4/2012 Present
- Siebert West Financial Consulting, Partner, 1/2014 Present
- ProEquities, Inc., Registered Representative, 8/2000 7/2018

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Wendy West has no required disclosures under this item.

Item 4 Other Business Activities

Wendy West is separately licensed as an independent insurance agent. In this capacity, she can effect transactions in insurance products for her clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Ms. West for insurance related activities. This presents a conflict of interest because Ms. West may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Wendy West is Partner of Siepert West Financial Consulting, a Financial Planning Firm. Ms. West's duties as Partner of Siepert West Financial Consulting do not create a conflict of interest to her provision of advisory services through Harvest Investment Services, LLC.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Ms. West's receipt of additional compensation as a result of her other business activities.

Also, refer to the *Fees and Compensation*, *Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Frederick A. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Wendy West. Frederick A. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.