



Luke E. Baumgarten

Harvest Investment Services, LLC

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Luke E. Baumgarten that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Contact us at 630-613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Luke E. Baumgarten (CRD # 6481176) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Luke E. Baumgarten

Year of Birth: 1990

Formal Education After High School:

- College of Dupage, Attended 8/2007 - 5/2011
- Liberty University, Business Finance, Attended 8/2011 to 10/2013

Business Background:

- Harvest Investment Services, LLC, Director of Business Development, 01/2019 - Present
- Harvest Investment Services, LLC, Investment Adviser Representative, 9/2015 - Present
- Harvest Investment Services, LLC., Office and Operations Assistant, 10/2014 - 01/2019

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Luke E. Baumgarten has no required disclosures under this item.

Item 4 Other Business Activities

Luke E. Baumgarten is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Investment Adviser Representative and Director of Business Development of Harvest Investment Services, LLC. Moreover, Mr. Baumgarten does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Luke E. Baumgarten does not receive any additional compensation beyond that received as an Investment Adviser Representative and Director of Business Development of Harvest Investment Services, LLC.

Item 6 Supervision

Frederick A. Newell, Chief Compliance Officer, is responsible for supervising the advisory activities of Luke E. Baumgarten. Frederick A. Newell can be reached at (630) 613-9230.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Harvest Investment Services, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.